Dawn Gray, Esq. 1 Blackfeet Legal Department P.O. Box 849 2 Browning, MT 59417 406-338-7777 3 dgray@blackfeetnation.com Attorney for the Respondent Blackfeet Nation 4 5 IN THE UNITED STATES DISTRICT COURT 6 FOR THE DISTRICT OF MONTANA **GREAT FALLS DIVISION** 7 8 William Arocha, Jr., 9 Petitioner, Case No. 22-CV-115-GF-BMM 10 v. Blackfeet Nation's Response to William Arocha Jr.'s Amended 11 Petition for Writ of Habeas Corpus 12 Blackfeet Tribe 13 Respondent. 14 15 16 Respondent, Blackfeet Tribe ("Blackfeet Nation"), by and through its 17 legal counsel Dawn Gray, and in accordance with the Court's Order dated 18 October 17, 2023, hereby submits its Response to Plaintiff William Arocha Jr.'s 19 (Arocha) Amended Petition for Writ of Habeas Corpus ("Petition"), as follows: 20 21 **INTRODUCTION** 22 Blackfeet Tribal Court Judge Carl Pepion sentenced Chippewa Cree 23 Tribal Member William Arocha Jr. to one (1) year and nine (9) months of 2.4 incarceration for one count of assault and one count of criminal endangerment 25

for the gruesome murder of Blackfeet Tribal Member, Shane LaPlante, in East Glacier, Montana. Acting Chief Judge, Carl Pepion, is licensed to practice law in the Blackfeet Nation and has received sufficient legal training to preside over criminal proceedings during his 20-year+ career as a judge. His sentences of Arocha for horrific acts of violence within the exterior boundaries of the Blackfeet Reservation are proper under 25 U.S.C. § 1302(c)(3).

During Arocha's criminal proceeding in Blackfeet Tribal Court, two (2) experienced Montana attorneys – Thane Johnson and David Gordon – appeared before Judge Pepion on behalf of Arocha and zealously advocated his interests. Attorney Johnson was present during trial and Attorney Gordon was present at the second sentencing hearing for the sentence now at issue. The record demonstrates Arocha opted to hire experienced criminal defense lawyers, instead of receiving legal services from a public defender, as offered by the Blackfeet Nation. Arocha's complaints about the legal services rendered by the attorneys he hired, and their "meaningful involvement" are not "significant" to make his sentence unreliable or fundamentally unfair under ICRA. Thus, Arocha was not denied *the right* to effective assistance of counsel under 25 U.S.C. § 1302(c)(1). In fact, he elected to hire counsel of his choosing.

The record demonstrates that Arocha was afforded the right to effective counsel before a judge licensed to practice in the jurisdiction of the Blackfeet

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Nation, and who has received sufficient legal training in criminal proceedings. Arocha was treated the same as every other defendant in the face of his unique circumstances of intentionally stabbing a person 17-times with a knife to ensure death. Arocha has failed to provide any evidence that he is in a "class of one" giving rise to an equal protection or due process claim.

As the following demonstrates, all four (4) Counts in Arocha's Amended Petition for Writ of Habeas Corpus ("Petition") fail, and he should remain incarcerated.

BACKGROUND

Petitioner, William Alberto Arocha Jr., is a tribal inmate who was originally incarcerated at the Dewey County Jail in Taloga, Oklahoma and now held at held at the Rocky Mountain Regional Detention Facility in Hardin, Montana. Doc. 10 at 10; Doc. 29 at 3. Following a jury trial, Arocha was found guilty of Assault C-Serious Bodily Injury and Negligent Endangerment on October 24, 2017, in the Blackfeet Tribal Court. Doc. 10 at 11-12 ¶¶ 13-14. Arocha was represented at trial by his licensed Montana lawyer, Thane Johnson. *Id.* at 11 ¶ 14.

The instant habeas Petition was filed by Arocha while an inmate at the Kay County Detention Center in Newkirk, Oklahoma. Doc. 5 at 1. Arocha was transferred to tribal custody after completing his federal prison sentence of 56 months on August 31, 2022. Doc. 10 at 9. He is currently serving two tribal

sentences totaling 21 months arising out of the same conduct as his prior federal sentence. The tribal sentences were initially imposed on November 8, 2017, and reimposed on November 17, 2022 after notice and a hearing with Arocha and his Montana criminal defense attorney, David Gordon, present, with 78 days credit for time served in county jail. *Id.* at 12, 16. The tribal sentences run consecutively, and were the maximum sentences allowed under Blackfeet law. *Id.* at 8, 16

Arocha filed his Petition pursuant to 28 U.S.C. § 2241(c)(3), and 25 U.S.C. § 1303, challenging the legality of his tribal sentences, claiming four (4) grounds for relief. Doc. 10 at 7, 14-19.

The Blackfeet Nation filed a Motion for Judgment on the Pleadings for failure to exhaust tribal court remedies, among other reasons. Doc. 24. The Court denied the Blackfeet Nation's motion concluding that Arocha alleged sufficient material facts to demonstrate that he has exhausted tribal remedies before filing his Petition. Doc. 33 at 9. The Court further found it has jurisdiction over the Petition, which named the proper Respondent Blackfeet Nation, as Arocha is being held on the authority of the Blackfeet Tribal Court and Blackfeet Nation. *Id.* at 7; *see also* Doc. 35 at 6. Thus, the Blackfeet Nation now responds to the merits of Arocha's Petition and demonstrates that all four of Arocha's claims fail and he should remain incarcerated.

ARGUMENT

1. Arocha was provided the right to effective assistance of counsel under ICRA in Blackfeet Tribal Court.

The record demonstrates that Arocha was represented during his criminal proceedings in Blackfeet Tribal Court by two licensed Montana attorneys – Thane Johnson and David Gordon. Doc. 10 at 2 ¶2, 16 ¶24. Attorneys Johnson and Gordon routinely represent criminal defendants in Blackfeet Tribal Court, and both have presided as Judges over criminal proceedings in the Blackfeet Tribal Court. *Affidavit of Carl Pepion (Pepion Aff.)*, ¶ 9.

ICRA provides that in a criminal proceeding in which an Indian Tribe imposes a total term of imprisonment of more than one (1) year on a defendant, it shall (1) provide to the defendant the right to effective assistance of counsel at least equal to that guaranteed by the United States Constitution; and (2) at the expense of the tribal government, provide an indigent defendant the assistance of a defense attorney licensed to practice law by any jurisdiction in the United States that applies appropriate professional licensing standards and effectively ensures the competence and professional responsibility of its licensed attorneys. 25 U.S.C. § 1302(c)(1)(2).

Here, Arocha declined a public defender from the Blackfeet Nation and instead hired his own legal counsel. Attorneys Gordon and Johnson provided Arocha with effective legal advocacy guaranteed under ICRA, as Arocha failed

to demonstrate any evidence whatsoever of ineffective assistance of counsel by either Attorney Johnson or Attorney Gordon. Arocha also failed to provide any evidence that Johnson or Gordon were not able to meaningfully participate in the trial or the sentence that was ultimately imposed after notice and a hearing. While it is true that Arocha and his attorney were not present during the first sentencing hearing, that error was corrected when Judge Pepion held a second sentencing hearing with both Arocha and his attorney present before Arocha's tribal sentence began to run. Doc. 10 at 16 ¶ 24.

In order to show a denial of effective assistance of counsel, Arocha must demonstrate that (a) counsel's performance fell below a standard of reasonable professional competence; and (b) there was a reasonable probability that, but for legal counsel's errors, the outcome would have been different. *Strickland v. Washington*, 466 U.S. 668, 687 (1984).

Writing for the Court in *Strickland*, Justice O'Connor commented that when making the determination on whether counsel's performance fell below a standard of reasonableness – "[p]revailing norms of practice as reflected in American Bar Association standards and the like, e.g., ABA Standards for Criminal Justice 4- 1.1 to 4-8.6 (2d ed. 1980) ("The Defense Function"), are guides to determining what is reasonable, but they are only guides." *Id.* at 688.

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And in making such determination on what is "reasonable," courts must apply, "a heavy measure of deference to counsel's judgments." *Id.* at 690-91.

With regards to the prejudice prong of the *Strickland* test for non-capital cases, the burden is high. For example, in Durrive v. U.S., the defendant, Durrive, was convicted of various drug offenses. Durrive v. U.S., 4 F.3d 548 (7th Cir. 1993) overruled on other grounds by Glover v. United States, 531 U.S. 198 (2001). Durrive complained that the sentencing judge erred in calculating the quantity of drugs involved in the crime, which under the Federal Sentencing Guidelines resulted in a sentence approximately one year greater than what Durrive believed was correct. Since Durrive's attorney failed to object to the sentence, Durrive brought an ineffective assistance of counsel claim in a habeas corpus petition. Durrive, F.3d. at 549. But the Seventh Circuit Court of Appeals affirmed the sentence, holding that, even if Durrive's calculation were correct, the difference was not sufficiently "significant" to make his sentence unreliable or fundamentally unfair under the prejudice prong of Strickland. Id. at 551. Indeed, "Strickland defines prejudice as a probable effect on the outcome." *Id.* at 550 (citation omitted, internal quotations omitted).

Here, Arocha's complaints about legal services rendered by Attorneys

Johnson and Gordon, and their alleged inability to "meaningfully participate" in
the sentencing hearing, are not "significant" to make Arocha's sentence

unreliable or fundamentally unfair. *See id.* Arocha's criminal conduct speaks for itself – he received the maximum sentence for each count of assault and criminal endangerment for his deplorable violent conduct. *See* Blackfeet Law and Order Code, Chapter 5, Part II, Section 4; *see also* Doc. 10 at 8 ¶ 1.

Whatever Arocha's attorneys could say, could not negate that fact that Arocha ran down Shane LaPlante from behind and cowardly stabbed him seventeen (17) times until he died from the attack, which deservingly resulted in the maximum sentence for assault and criminal endangerment under Blackfeet law. Thus, whatever Arocha describes as "meaningful participation" at the sentencing hearing would not have had a probable effect on the outcome of sentencing for the deplorable crimes he committed.

While Arocha claims that (1) Attorney Gordon was badgered by the Tribal Court, (2) was not recognized as his attorney, and (3) that Gordon was not able to get the recordings of the proceedings because one or more members of the staff processing the request are related to Shane LaPlante (Doc. 5 at 8), Arocha makes these assertions without any evidentiary support whatsoever. Arocha's mere speculation, without more, is not enough to overturn a conviction, particularly since Arocha does not contend there were issues with his lawyers at any other stage of trial. *See Shah v. United States*, 878 F.2d 1156, 1161 (9th Cir. 1989) (stating vague or unsupported conclusory

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allegations do not state a claim because it is the movant who bears the burden of proof); see also Baumann v. United States, 692 F.2d 565, 571 (9th Cir. 1982) (stating mere conclusionary statements does not justify a habeas hearing).

The bottom line is Arocha hired two separate attorneys who are (1) licensed to practice law in the State of Montana, (2) routinely represent criminal defendants in Blackfeet Tribal Court, and (3) previously served as judges for the Blackfeet Tribal Court and presided over criminal proceedings. *Pepion Aff.*, ¶ 9. They provided sound reasonable advocacy to Arocha during his criminal proceeding in Blackfeet Tribal Court and "a heavy measure of deference" should be given to Attorney Johnson and Gordon's judgments on behalf of Arocha. In fact, Arocha would have been hard pressed to find more experienced defense counsel within the Tribe's jurisdiction. Although Arocha and his counsel were not present when the sentences were first imposed, to correct any error caused by the in absentia hearing, Arocha was resentenced at a time when both he and his law-licensed counsel were present. Doc. 10 at 16. Arocha was provided with the right to effective assistance of counsel under 25 U.S.C. 1302(c)(1)(2).

2. The Tribal Sentences are Proper as Judge Pepion is properly qualified to properly qualified under 25 U.S.C. § 1302(c)(3) to impose a sentence of more than one year

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Judge Pepion was licensed to practice law within the Blackfeet Nation, having passed the Blackfeet Nation's bar exam prior to the time of Arocha's trial and sentencing. *Pepion Aff.*, ¶ 3, Exhibit 1. Moreover, in addition, he has received sufficient legal training over the course of his 20-plus year career. *Id.* at Exhibit 2-20. Judge Pepion's qualifications comply with 25 U.S.C. § 1302(c)(3).

Under 25 U.S.C. § 1302(c)(3) the judge presiding over a criminal proceeding must (A) have sufficient legal training to preside over criminal proceedings; and (B) is licensed to practice law by any jurisdiction in the United States.

Licensing Standard

The Senate Committee on Indian Affairs issued a report indicating that so long as the tribal judge meets the tribal, state, or federal judicial licensing standard, the licensing requirement is met. S. Rep. No. 111-93 at 17 n. 57 (2009). Indeed, whether the standard employed is a state, federal, or tribal standard will be a decision for the tribal government. *Id.* Thus, "given the Senate Committee Report's language it is clear that so long as the tribal judge meets the tribe's licensing standards then of the qualification prongs is met." *Tompkins, Jill Elizabeth (2015) "Defining the Indian Civil Rights Act's "Sufficiently Trained"*Tribal Court Judge, "American Indian Law Journal: Vol. 4: Iss. 1, Article 5 at 67. Available at: https://digitalcommons.law.seattleu.edu/ailj/vol4/iss1/5.

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How should the Justice Department evaluate whether a judge's legal training is sufficient to preside over criminal proceedings?

A Blackfeet judge is sufficiently licensed for purposes of ICRA if they meet the criteria set forth under Chapter 1, Section 2 of the Blackfeet Tribal Law and Order Code. Judge Pepion is a licensed member of the Blackfeet Bar Association and is licensed to practice law in the Blackfeet Nation – which is a jurisdiction within the United States. *Pepion Aff.* ¶ 3, Exhibit 1.

Arocha's only argument regarding Pepion's licensing is that "[a]t this statge of this litigation, there is no evidence that has been produced to established that Pepion actually holds a license to practice law issued by the Blackfeet Tribe or any other jurisdiction." Doc. 30 at 12. However, upon invitation by Arocha, that evidentiary proof of licensing has been produced. *Pepion Aff.* ¶ 3, Exhibit 1. As an appointed judge on the Blackfeet Court with a Blackfeet bar license, Judge Pepion meets the Blackfeet tribal licensing standard, and meets the licensing standard under 25 U.S.C. § 1302(c)(3) to render a sentence for more than one-year.

Sufficiently Trained Standard.

ICRA requires that Judges who impose total sentences for more than one (1) year have "sufficient legal training," which is much more ambiguous than the licensure prong of 25 U.S.C. § 1302(c)(3). In May 2012, the Justice Department circulated a "framing paper" seeking input on a variety of questions, one of which was:

See, Tompkins, Jill Elizabeth (2015) "Defining the Indian Civil Rights Act's "Sufficiently Trained" Tribal Court Judge," American Indian Law Journal: Vol. 4:

Iss. 1, Article 5 at 68 (citation omitted). Available at:

https://digitalcommons.law.seattleu.edu/ailj/vol4/iss1/5.

The National American Indian Court Judges Association answered the Justice Department's question, in part:

A certification by a nationally respected tribal judicial education organization awarded to a tribal judge after completing a course of classroom and experiential study, could be developed that could serve as prima facia [sic] evidence of sufficient legal training. In lieu of that, the Department should use a flexible tribal self-certification approach in which the tribe articulates what legal education and experience the judge who will be exercising the SDVCJ jurisdiction possesses.

Id. at 68-69 (citation omitted).

Therefore, if a tribal judge can produce a certification by a nationally respective tribal judicial education organization, it should be considered *prima* facie evidence of sufficient legal training.

Here, Judge Pepion has attended the National Judicial College, which is a national tribal judicial education organization. He has received numerous certificates from the Board of Trustees of the National Judicial College, including the following:

- Special Court Jurisdiction. *Pepion Aff.*, ¶ 12, Exhibit 2.
- Essential Skills for Tribal Court Judges. Exhibit 3.

• '	Writing	for	Tribal	Judges.	Exhibit 4.
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- Decision Making. Exhibit 5.
- Appellate Skills for Tribal Judges. Exhibit 6.
- Effective Case Flow Management. Exhibit 7.
- Dispute Resolution Skills. Exhibit 8.
- Judicial Development Tribal Judicial Skills. Exhibit 9.
- Handling Domestic Violence Cases in Tribal Court. Exhibit 10.
- Court Management for Tribal Judges and Personnel (2009). Exhibit 11.
- Court Management for Tribal Judges and Personnel (2012). Exhibit 12.
- Addiction in Indian Country Symposium. Exhibit 13.
- Dividing Waters. Exhibit 14.
- Writing for Tribal Court Judges. Exhibit 15.

Judge Pepion has also received various trainings and certificates of achievement as a member of the Blackfeet judiciary, some of which are set forth as follows:

- Certificate of training from the Council Lodge Institute, Inc. in Criminal,
 Civil, Child Protection & Juvenile Delinquency Court Procedures I & II. Exhibit
 16.
- Certificate of Achievement from the National Indian Justice Center for "Criminal Law and Procedure in Indian County." Exhibit 17.

Certificate of Achievement by the National Council of Juvenile and Family
 Court Judges, in Enhancing Judicial Skills in Domestic Violence Cases. Exhibit 19.

Recognition of outstanding effort put forth in college success skills,
 outstanding portfolio performance, by the Blackfeet Community College, among
 other academic accomplishments. Exhibit 18.

What is more, Arocha's criminal defense lawyer, Thane Johnson, wrote a letter "to provide the absolute highest recommendation for Carl Pepion for the position of Associate Tribal Court Judge for the Blackfeet Tribal Court." Exhibit 20. Attorney Johnson noted that Judge Pepion "has a vast amount of legal training and understands the position like none other." *Id.* (emphasis added). Thus, even Arocha's experienced criminal defense attorney acknowledged that Judge Pepion has sufficient legal training to serve as a judge.

Finally, Judge Pepion has sufficient legal training through his extensive experience as a tribal prosecutor, defender, and law enforcement officer. *Id.* at ¶ 11. Based on the above, and in consideration of the attached affidavit, Judge Carl Pepion has met the threshold of having "sufficient legal training" to impose a total sentence of more than 1 year. *See* 25 U.S.C. § 1302(c)(3).

3. Arocha was provided with his right to due process and equal protection during his criminal proceeding in Blackfeet Tribal Court.

ICRA prohibits an Indian tribe in the exercise of its powers of self-

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governance from "deny[ing] to any person within its jurisdiction the equal protection of its laws or deprive any person of liberty or property without due process of law." 25 U.S.C. § 1302(a)(8). However, ICRA did not serve to extend constitutional requirements to tribal governments "in wholesale fashion" but "selectively incorporated and in some instances modified the safeguards of the Bill of Rights to fit the unique political, cultural, and economic needs of tribal governments." *Santa Clara Pueblo v. Martinez*, 436 U.S. 49, 62 (1978) (citing legislative history).

Evidence demonstrates the Blackfeet Nation met ICRA's requirements by providing due process and equal protection to Arocha during his jury trial for assault and criminal endangerment, and further at the second sentencing hearing, before his tribal sentence began to run. There is no evidence that demonstrates Arocha was treated any differently than another criminal defendant in similar circumstances who chased down a young man from behind a stabbed him seventeen (17) times to ensure his death.

Arocha contends he was denied equal protection and due process as guaranteed by 25 U.S.C. § 1302(a)(8), largely due to his allegations about Judge Pepion's qualifications and the attorney sentencing problems already discussed at length, which need no additional discussion here. (Doc. 10 at 24-25).

The equal protection argument stems from Petitioner's assertion that the Blackfeet Nation's criminal proceedings were "marred by numerous irregularities." *Id.* However, Arocha provides no evidence that his experience is unusual for Blackfeet criminal defendants. Judge Pepion, whose qualifications he disputes, has presided over innumerable criminal cases and Arocha has not provided any information to suggest that his experience has varied from any other similar case.

Furthermore, there was nothing irregular about the resentencing hearing, which again, corrected any errors in the first sentencing. The judge, clerk, petitioner, petitioner's counsel, tribal prosecutor, and tribal attorney were all present, and a reasonable and lawful sentence was imposed. Doc. 10 at 16.

Moreover, it is undisputed that the sentencing judge ran the tribal sentences consecutive to each other. *Id.* at 8. All available evidence and authority demonstrates Judge Pepion ran the sentences consecutive to the federal sentence, since he did not explicitly state the sentences would run concurrently, and the federal sentence had already been served at the time the tribal sentences were reimposed. *Id.* at 9, 15. The tribal sentences totaled 21 months, and the federal sentence was 56 months. *Id.* at 8-9. If the sentences ran concurrently, as Arocha claims, he would have completed the tribal sentence while serving the federal one and would have been released upon

completion of his federal sentence. Instead, the tribal court, with the same judge presiding at both sentencing hearings, resentenced Petitioner after his time in federal custody. *Id.* at 8, 9, 16. The new sentences were identical to the original sentences, less credit for time served in county jail, with no credit for time in federal prison. *Id.* at 8, 16. Judge Pepion intended the tribal sentences to run consecutive to the federal sentence. Doc. 10-1 at 40, Amended Order. Therefore, the sentences run consecutively, both to each other and to the federal sentence.

Arocha has not provided any evidentiary support that he is a "class of one" as set forth in the case of *Village of Willowbrook v. Olech*, 528 U.S. 562, 563 (2000) to justify an equal protection claim. The "class of one" theory is based on spiteful, selective enforcement that is "irrational and wholly arbitrary" where there is "no rational basis for the difference in treatment." *Village of Willowbrook*, 528 U.S. at 564 (citations omitted).

Here, Arocha presented no evidence demonstrating that the Blackfeet Tribal Court was purposefully and intentionally singling out Arocha on a discriminatory basis. The only evidence Arocha provided that is even remotely related to the "class of one" theory, was that his attorney was not present at his first sentencing hearing. Doc. 10 at 24-25. However, as set forth above, that error was rendered moot when it was corrected by the second

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sentencing hearing where Arocha and his lawyer were present. Arocha is not in a class of one, and was not denied his right to equal protection and due process of law.

CONCLUSION

Based on the foregoing, Respondent, Blackfeet Nation, respectfully requests the Court deny Arocha's Amended Petition for Writ of Habeas Corpus, and that he remain incarnated.

Dated this 31st day of October, 2023.

/s/Dawn Gray

Dawn Gray, Esq.
Attorney for the Blackfeet Nation

CERTIFICATE OF COMPLIANCE

Pursuant to L.R. 7.1(d)(2), I hereby certify that this response brief is printed with proportionately spaced Times New Roman text typeface of 14 point; is double-spaced; and the word count, calculated by Microsoft Office Word, is not more than 4,000 words, excluding the Caption, and the Certificate of Compliance and Certificate of Service.

/s/ Dawn Gray

CERTIFICATE OF SERVICE

I, the undersigned, do hereby certify under the penalty of perjury that on the 31st day of October, 2023, a copy of the foregoing was served by electronic means to the parties noted in the Court's ECF transmission facilities.

/s/ Dawn Gray